



ECITM

can
make a
difference

ethics & compliance **initiative**





ECITM can make

In October 2014, we created a powerful new collaboration to help organizations operate at the highest level of integrity. We call ourselves the Ethics & Compliance Initiative (ECI), which combines the ethics and compliance (E&C) profession's oldest and most respected groups into a single place for premier research, best practices, networking, and certification of ethics professionals and programs.

Our alliance – between the Ethics Research Center (ERC), formerly the Ethics Resource Center, and the Ethics & Compliance Association (ECA), formerly the Ethics & Compliance Officer Association – united two organizations that shared the goal of promoting high ethical performance in complementary ways.

ERC, now the research arm of ECI, generates independent research about workplace ethics, ethics culture and the behaviors that drive integrity in organizations. ECA, now the membership community of ECI, serves individual ethics and compliance practitioners by providing tools and opportunities for improving the implementation of E&C policies and programs.

a difference

Combining these with the Ethics & Compliance Certification Institute (ECCI) positions ECI as the only organization with the resources to present new ideas grounded in research; connect those ideas with an engaged member community; and provide the rigor in education and certification to create excellence in E&C programs. We've built on each other's strengths to deliver more benefits than either one of us could on our own.

Because of our alliance, every person with responsibilities for ethics culture and performance – CEOs, E&C officers, boards, government policymakers and more – now has a single comprehensive ethics resource to which to turn, speaking with one unified voice. Organizations and practitioners that need ethics know-how, support and tools to transform their workplaces can look confidently to ECI for a wide range of programs, initiatives and support.


ECI can make a difference.



ECI™ can make a difference

with new insights

All of our research has a single goal in mind: equipping business leaders and ethics and compliance practitioners with knowledge and resources to encourage ethical conduct in their workplaces. We strive to identify best practices, so you can implement them.



Founded in 1922, the Ethics Research Center (ERC) is the research arm of ECI. It is the United States' oldest non-profit source of independent research to advance high ethical standards and practices in public and private institutions. Recognized for industry-leading research, including the National Business Ethics Survey® of the American workplace, ERC analyzes current and emerging issues to establish benchmarks, stimulate new approaches, and guide organizations' ethics and compliance efforts. The work of the ERC is made possible entirely through donations.

ECI was frequently called upon to present its research to leaders from the private and public sectors in the past year, including the U.S. Department of Defense, the U.S. Congress, the U.S. Sentencing Commission, executive leaders of Fortune 100 companies, the Public Company Accounting Oversight Board and the Brookings Institution. Select research is also presented in ECI's free monthly webcasts.

research

In ECI's first year as an allied organization, we made a difference in E&C with the following research achievements.

- Ethical Leadership: Every Leader Sets a Tone
- The State of Ethics in Large Companies
- Increasing Employee Reporting Free From Retaliation
- Research in Workplace Collective Identity
- Research in Workplace Generational Differences

Already underway for next year are these dynamic research initiatives.

- ECI Short Survey tool for members to assess and benchmark organizational culture
- Expansion of NBES into the first-ever Global Business Ethics Survey (GBES)
- New research on behavioral analytics



ECI™ can make a difference

by engaging with peers

As recognized global thought-leaders in the field, ECI members have access to the top networking and educational opportunities to empower their organizations' E&C programs and their professional careers on a dynamic new path.

The Ethics & Compliance Association (ECA) is the membership community of ECI. This community provides learning through the exchange of ideas and the sharing of best practices in ethics and compliance (E&C) programs. With funding and resources provided by members, the association connects global practitioners, thought-leaders, academicians, legal counsel, consultants and other partners, and brings them together to share practical advice to support the day-to-day implementation of E&C programs.

Through its membership, ECI represents more than 450 organizations across nearly every industry, each dedicated to promoting the highest levels of integrity in organizations worldwide. ECI members represent a wide range of organizations, from the largest multinational corporations; to city, state, and federal government agencies; to medium and small-sized businesses; to colleges and universities; to non-profit organizations; and to organizations that provide resources and services to support a robust E&C program. Members' ethics and compliance programs are located in over 125 countries, and ECI member employees are located in more than 200 countries around the world.

community

In ECI's first year as an allied organization, we made a difference in E&C by offering our member community the following outstanding opportunities.

- Annual Ethics & Compliance Conference
- Inaugural Best Practice Forum
- European Business Ethics Forum
- Managing Ethics in Organizations Course
- International Business Ethics Case Competition (IBECC)
- Policy & Enforcement Summit
- Two Fellows Meetings
- Twelve monthly webcasts on ECI research
- Launch of Benchmarking Groups to improve program best practices



ECI™ can make a difference

by pursuing excellence

**The Leading Professional
in Ethics & Compliance
(LPEC) certification, available
through ECI, is the symbol
of excellence, competency
and credibility in the E&C
industry around the world.**

The Ethics & Compliance Certification Institute (ECCI) is the certification component of ECI. It applies a strong rigor to education and certification for ethics and compliance practitioners and represents the continuing evolution of our certification program (former conducted by ECA's Foundation).

The LPEC designation is evidence of proven knowledge of E&C program development and management, as well as a dedication to ethical business practices, leadership and ongoing professional development. Recognized at the international level, LPEC-certified professionals represent over 60 countries and range across all industries. This certification is a validation of core competency and skills to current and future employers.

certification

In ECI's first year as an allied organization, we made a difference in E&C with the following achievements in certification.

- E2C courses offered in six countries
- Record attendees in E2C online course
- More than 200 new professionals LPEC certified
- Professionals in more than 50 new countries became LPEC certified
- New online LPEC exam launched

In the next year, ECI will develop a means for organizations to review and certify their effective ethics and compliance program efforts.



join

us

and discover how
ECI can make a difference.

ethics.org

ECI offers three membership options, each designed to best meet the unique needs of every ECI member.

ECI PRACTITIONERS

ECI Practitioner members have a role in, or responsibility for, activities related to their organization's internal ethics, compliance or organizational conduct program and/or corporate governance practices. Eligible practitioners can either join on as an individual practitioner, or have their companies join as a practitioner organization for additional benefits and opportunities.

ECI PARTNERS

ECI Partner members are external advisors, legal counsel, consultants or vendors who support organizational ethics and compliance programs and/or corporate governance practices or, anyone having a personal, professional or organizational interest in these activities. Eligible partners can either join on as an individual partner, or have their companies join as a partner organization for additional benefits and opportunities.

ECI FELLOWS

Founded in 1997, the ECI Fellows program is a forum for meaningful discussion related to ethics issues leading to key collaborative research to address today's ethical challenges. The ECI Fellows program brings together a select group of nearly 100 global thought-leaders, including the most experienced senior practitioners with mature programs in Fortune 500 corporations, government organizations, non-profit and educational institutions who share an expertise and interest in business and government ethics, both internally and in the public arena.



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